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Am unrhyw ymholiad yn ymwneud â'r agenda hwn cysylltwch â Rebecca Barrett  
(Rhif Ffôn: 01443 864245 E-bost: barrerm@caerphilly.gov.uk)

**Dyddiad: Dydd Mercher, 12 Mai 2021**

Annwyl Syr/Fadam,

Bydd cyfarfod o'r **Pwyllgor Craffu yr Amgylchedd a Chynaliadwyedd** yn cael ei gynnal trwy Microsoft Teams ar **Dydd Mawrth, 18fed Mai, 2021 am 5.30 pm** i ystyried materion a gynhwysir yn yr agenda canlynol. Gall cynghorwyr a'r cyhoedd sy'n dymuno siarad am unrhyw eitem wneud hynny drwy wneud cais i'r Cadeirydd. Mae croeso i chi ddefnyddio'r iaith Gymraeg yn y cyfarfod, a dylid rhoi cyfnod rhybudd o 3 diwrnod gwaith os ydych yn dymuno gwneud hynny.

Bydd y cyfarfod hwn yn cael ei recordio a bydd ar gael i'w weld trwy wefan y Cyngor, ac eithrio trafodaethau sy'n ymwneud ag eitemau cyfrinachol neu eithriedig. Felly, bydd delweddau/sain yr unigolion sy'n bresennol ac/neu sy'n siarad yn ystod ar gael i'r cyhoedd trwy'r recordiad ar wefan y Cyngor yn [www.caerffili.gov.uk](http://www.caerffili.gov.uk)

Yr eiddoch yn gywir,

A handwritten signature in black ink, appearing to read 'Chrissy'.

**Christina Harrhy**  
PRIF WEITHREDWR

## AGENDA

Tudalennau

- 1 I dderbyn ymddiheuriadau am absenoldeb
- 2 Datganiadau o Ddiddordeb.

A greener place Man gwyrddach

Correspondence may be in any language or format | Gallwch ohebu mewn unrhyw iaith neu fformat



Atgoffi'r Cyngorwyr a Swyddogion o'u cyfrifoldeb personol i ddatgan unrhyw fuddiannau personol a/neu niweidiol mewn perthynas ag unrhyw eitem o fusnes ar yr agenda hwn yn unol â Deddf Llywodraeth Leol 2000, Cyfansoddiad y Cyngor a'r Cod Ymddygiad ar gyfer Cyngorwyr a Swyddogion

I gymeradwyo a llofnodi'r cofnodion canlynol:-

- 3 Pwyllgor Craffu yr Amgylchedd a Chynaliadwyedd a gynhaliwyd ar 23ain Mawrth 2021.. 1 - 6
- 4 Ystyried unrhyw fater a gyfeiriwyd at y Pwyllgor hwn yn unol â'r drefn galw i mewn.
- 5 Blaenraglen Waith Pwyllgor Craffu yr Amgylchedd a Chynaliadwyedd. 7 - 16
- 6 I dderbyn ac ystyried yr adroddiadau\* Cabinet canlynol:-
1. Rhaglen Safleoedd Strategol Llywodraeth Cymru – Cyfleoedd I Ness Tar, Caerffili (Wedi Eithrio) – 24ain Mawrth 2021;
  2. Fferm Wynt Pen March – Memorandwm Cyd-ddealltwriaeth – 24ain Mawrth 2021;
  3. Taliadau Parcio Ceir – 24ain Mawrth 2021;
  4. Adolygiad o Drefniadau Rheoli Contractau yn y Gwasanaethau Gwastraff – 7fed Ebrill 2021;
  5. Diweddariad ar Waith Adolygu Fflyd a Phontio I Gerbydau Allyriadau Isel lawn - 7fed Ebrill 2021;
  6. Ailagor Ffordd Goedwig Cwmcarn – 21ain Ebrill 2021;

*\*Os oes aelod o'r Pwyllgor Craffu yn dymuno o'r adroddiad Cabinet uchod i gael eu dwyn ymlaen ar gyfer adolygiad yn y cyfarfod, cysylltwch â Rebecca Barrett, 01443 864245, erbyn 10.00 a.m. ar dydd Llun, 17eg Mai 2021.*

- 7 Derbyn Rhybudd o Gynnig - System Archebu bosibl ar gyfer Canolfannau Ailgylchu. 17 - 20

I dderbyn ac ystyried yr adroddiadau Craffu canlynol:-

- 8 Gwasanaeth Olrhain Cysylltiadau Caerffili. 21 - 60

### **Cylchrediad:**

**Cyngorwyr** M.A. Adams, A. Collis, D.T. Davies (Cadeirydd), C. Elsbury, M. Evans, A. Gair, Ms J. Gale, A. Hussey (Is Gadeirydd), S. Kent, Mrs A. Leonard, B. Owen, D.W.R. Preece, J.E. Roberts, J. Scriven, A. Whitcombe a T.J. Williams (heblaw bod hyn yn cael ei newid yn y CCB)

A Swyddogion Priodol

### **SUT FYDDWN YN DEFNYDDIO EICH GWYBODAETH**

Bydd yr unigolion hynny sy'n mynychu cyfarfodydd pwyllgor i siarad/roi tystiolaeth yn cael eu henwi yng nghofnodion y cyfarfod hynny, weithiau bydd hyn yn cynnwys eu man gweithio neu fusnes a'r barnau a fynegir. Bydd cofnodion o'r cyfarfod gan gynnwys manylion y siaradwyr ar gael i'r cyhoedd ar wefan y Cyngor ar [www.caerffili.gov.uk](http://www.caerffili.gov.uk). ac eithrio am drafodaethau sy'n ymwneud ag eitemau cyfrinachol neu eithriedig.

Mae gennych nifer o hawliau mewn perthynas â'r wybodaeth, gan gynnwys yr hawl i gael mynediad at wybodaeth sydd gennym andanoch a'r hawl i gwyno os ydych yn anhapus gyda'r modd y mae eich gwybodaeth yn cael ei brosesu. Am wybodaeth bellach ar sut rydym yn prosesu eich gwybodaeth a'ch hawliau, ewch i'r [Hysbysiad Preifatrwydd Cyfarfodydd Pwyllgor Llawn](#) ar ein gwefan neu cysylltwch â Gwasanaethau Cyfreithiol drwy e-bostio [griffd2@caerffili.gov.uk](mailto:griffd2@caerffili.gov.uk) neu ffoniwch 01443 863028.

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## ENVIRONMENT AND SUSTAINABILITY SCRUTINY COMMITTEE

MINUTES OF THE MEETING HELD VIA MICROSOFT TEAMS ON TUESDAY, 23RD  
MARCH 2021 AT 5.30 P.M.

PRESENT:

Councillor D.T. Davies - Chair  
Councillor A. Hussey - Vice Chair

Councillors:

M.A. Adams, A. Collis, C. Elsbury, M. Evans, A. Gair, J. Gale, S. Kent, Mrs A. Leonard,  
D.W.R. Preece, J.E. Roberts, A. Whitcombe, T.J. Williams

Cabinet Members:

N. George (Cabinet Member for Waste and Public Protection), L. Phipps (Cabinet Member  
for Housing and Property), J. Ridgewell (Cabinet Member for Environment and  
Infrastructure), R. Whiting (Cabinet Member for Learning and Achievement)

Together with:

M.S. Williams (Interim Corporate Director – Communities), R. Hartshorn (Head of Public  
Protection, Community & Leisure Services), M. Lloyd (Head of Infrastructure), I. Raymond  
(Business Improvement Officer), C. Forbes-Thompson (Scrutiny Manager), R. Barrett  
(Committee Services Officer)

### RECORDING AND VOTING ARRANGEMENTS

The Chair reminded those present that the meeting was being filmed and would be  
available following the meeting via the Council's website – [Click Here to View](#). Members  
were advised that voting on decisions would take place via Microsoft Forms.

#### 1. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors B. Owen and J. Scriven, together with  
Cabinet Member S. Morgan (Economy and Enterprise).

#### 2. DECLARATIONS OF INTEREST

There were no declarations of interest received at the commencement or during the course  
of the meeting.

**3. MINUTES – 9TH FEBRUARY 2021**

It was moved and seconded that the minutes of the meeting held on 9th February 2021 be approved as a correct record and by way of Microsoft Forms (and in noting there were 13 for, 0 against and 0 abstentions) this was unanimously agreed.

RESOLVED that the minutes of the Environment and Sustainability Scrutiny Committee held on 9th February 2021 (minute nos. 1 – 8) be approved as a correct record.

**4. CALL-IN PROCEDURE**

There had been no matters referred to the Scrutiny Committee in accordance with the call-in procedure.

**5. ENVIRONMENT AND SUSTAINABILITY SCRUTINY COMMITTEE FORWARD WORK PROGRAMME**

Cath Forbes-Thompson (Scrutiny Manager) presented the report, which outlined details of the Environment and Sustainability Scrutiny Committee Forward Work Programme (FWP) for the period March 2021 to March 2022.

Members noted the details of the reports scheduled for forthcoming meetings and it was moved and seconded that the report recommendation be approved. By way of Microsoft Forms (and in noting there were 12 for, 0 against and 0 abstentions) this was unanimously agreed.

RESOLVED that the Forward Work Programme as appended to the meeting papers be published on the Council's website.

**6. CABINET REPORTS**

It was confirmed that there had been no requests for the Cabinet report listed on the agenda to be brought forward for discussion at the meeting.

**REPORTS OF OFFICERS**

Consideration was given to the following reports.

**7. DIRECTORATE PERFORMANCE ASSESSMENT SIX MONTH UPDATE 2020**

Mark S. Williams (Interim Corporate Director of Communities) presented the report, which outlined the Communities Directorate Performance Assessment (DPA) which is part of the Council's new Performance Framework. The DPA provides information and analysis for the 6-month period April – September 2020 and was attached at Appendix 1. The DPA (attached at Appendix 1) forms part of the overall Council 'self-assessment' activity, and the Scrutiny Committee were invited to discuss, challenge and scrutinise the information in the DPA.

Members were reminded that the DPA forms part of the approach to the Council's new Performance Management Framework, and was piloted by the Environment and

Sustainability Scrutiny Committee in 2019 and endorsed by Cabinet in February 2020. The new Performance Framework has been developed to meet several strategic and operational needs as well as to align with emerging legislation and align with the aims of the Council's ambitious Transformation Programme (Team Caerphilly).

Members were asked to note that the DPA covered the period April 2020-September 2020 and Mr Williams highlighted the many challenges faced by the Communities Directorate during that time. Members were reminded that the Council were already dealing with the aftermath of the damage caused by Storms Ciara and Dennis in February, and then had to contend with the fresh challenges and demands placed on the Council as a result of the Covid-19 pandemic.

Mr Williams paid tribute to the impeccable work of Communities staff during the pandemic, together with their willingness to step up in other roles to ensure that service delivery was maintained, and asked that his thanks to staff be placed on record. Members were asked to note that although some services had been closed due to Covid-19 restrictions (such as leisure centres and HWRCs), the Authority continued to operate every service that they were legally permitted to do so. Mr Williams also thanked for Members for their support, together with colleagues in Corporate Finance for steering them through the financial elements associated with the pandemic. Despite these challenges, it was highlighted that the DPA still gives a comparatively positive picture of performance for the period April-September 2020 due to the efforts of everyone involved.

The Scrutiny Committee discussed the report and Officers responded to Members' queries. A Member referred to the completion of the LED part night lighting programme and asked if there had been any subsequent issues regarding public safety. Officers confirmed that two enquiries had been received in this regard and gave assurances that the statistics are monitored and that regular meetings are held with Gwent Police to review any concerns. The implementation programme has now been completed and the measures will be reviewed after a minimum of 12 months. Clarification was received on the negotiations with Rhondda Cynon Taf Council regarding dry recycling, and Officers confirmed that this had slowed down during the pandemic but trials have now resumed and they will report back on the best way forward.

The Scrutiny Committee discussed the proposals for a booking system at Civic Amenity (CA) Sites and raised concerns around the limitations of a recent online survey that had been carried out in respect of these proposals. Officers gave a verbal update regarding future consultation opportunities, including face-to-face dialogue with residents when restrictions lift, and stated all feedback and concerns would be taken into account and any proposals and recommendations would be brought back to the Scrutiny Committee for consideration. Some Members also expressed concerns over the queues at CA sites and Officers explained that this partly is due to the revised arrangements in place arising from Covid-19 restrictions (e.g. a two-car maximum on CA ramps) plus sites being generally busier at weekends, and that the majority of other local authorities had successfully implemented a booking system which have eradicated the queuing problem. One Member also suggested that a booking system might lead to an increase in fly-tipping if the resident missed their slot but only had that time available to dispose of their waste.

Reference was made to the overflowing of highway bin waste and dog waste bins. Although this was not specifically highlighted in the DPA, Officers gave a verbal update on these issues, explaining that the bins are emptied on a rota basis but have been impacted by Covid constraints, alternative use of staff, staff sickness and service delivery interruptions. It was also explained that dog waste bins are particularly subject to misuse, because residents are placing waste bags on top of the bins instead of touching the lid to open the bin because they are concerned about Covid transmission. This gives the impression that these bins are overflowing when this is in fact not the case. The Council

have recently carried out a social media campaign to raise public awareness of this problem and are continuing to look at alternative solutions to tackle the issue.

Following consideration of the report, and having discussed, challenged and scrutinised the information in the Directorate Performance Assessment, the Committee noted its contents.

## **8. REVIEW OF CONTRACT MANAGEMENT ARRANGEMENTS IN WASTE SERVICES**

The Cabinet Member for Waste and Public Protection presented the report, which outlined a proposal for strengthening contract management arrangements within Waste Services. The report set out details of the range of high value contracts that are managed within Waste Services and provided details of a proposal to strengthen current contract management arrangements. The proposed approach will ensure that all contracts are maximised in terms of service delivery, regulatory requirements, and that the wider social value benefits are realised. At the end of the proposed two-year contract management review period, an analysis of the effectiveness of the service model will be undertaken to determine if this approach to contract management can be applied more generally to other service areas across the Council. The report also included a proposal to fund the estimated cost of circa £410k to undertake the contract management review. The Scrutiny Committee were asked to consider the content of the report and the funding proposal prior to its consideration by Cabinet on 7th April 2021.

Officers further explained that the proposal focuses on changes to procurement regulations within Wales, with a need for training across a number of areas with regards to contract management going forward and the staff will be utilised over a wider range of areas during the two year fixed-term, although the initial focus will be on Waste Services.

During the course of the ensuing debate, Members commented on the process to procure a “critical friend” to review the processes alongside good practice and were advised that local government has long-established peer review arrangements and would look to the WLGA database in the first instance to identify suitable individuals.

The Scrutiny Committee highlighted the high-value waste recycling contracts let for 15 years and sought assurance and clarity on how value for money, competitiveness and fairness are ensured, and also referred to a drop off in recycling performance in 2019/20. Officers gave assurances that contracts are subject to an open and transparent procurement process, and the reason for the length of some contracts is due to the investment required by providers to develop their facilities and recoup that investment. It was confirmed that performance indicators are built into the contracts that have to be achieved. Officers explained that the drop off in performance in 19/20 related to one specific waste stream where the recycling was sub-contracted out but contractual terms were not met by this external party. The proposed data analytical officers will examine all data to ensure that the key performance outturns are being met and complied with. It was confirmed that there are penalties attached to the targets for non-compliance, which can be imposed upon the Council by Welsh Government and can be passed onto contractors.

A Member queried the costs of additional staff as set out in Section 5.8 in the report and asked if some of the posts could be combined in order to make savings. Officers confirmed that the costs include salary on-costs and that the proposals have been developed in consultation with the Heads of Procurement and Corporate Finance to ensure the implementation of the proposed changes and deliver on the changes in legislation. In response to a separate query, Officers also provided clarification on the uplift costs built into longer-term contracts, with it noted that shorter-term contracts do not generally represent best value for money.



It was noted that Councillor B. Owen was not in attendance but had prepared three questions which were relayed to Officers during the meeting with the permission of the Chair. The first question asked if the Council had to adhere to strict local government procurement time periods (circa 5 years) and then go back to market to ensure to ensure best value for money, and also if the Authority had recently undertaken a procurement re-evaluation. Officers explained that there is no requirement on a contract term and that this depend on numerous factors including service needs and what offers best value for money, and that they would contact Councillor Owen following the meeting to seek clarification on the second part of his question.

The second question referred to the significant financial value and additional staffing attached to the proposals and sought clarification on the rationale behind these. Officers confirmed that this had already been answered during the course of the meeting. The third question asked if the additional resources requirement had come about as a result of the Council's failure to meet WG recycling targets. Officers confirmed that this is not the case and that these additional resources have been proposed to review contract management arrangements across the authority and to address the changes to procurement legislation following Brexit.

In closing, the Scrutiny Committee placed on record their thanks to all the front line staff in Waste Services for their ongoing efforts during a particularly challenging year, and asked Mr Williams and Mr Lloyd to relay the thanks of the Committee to their teams.

Following consideration of the report, it was moved and seconded that the following recommendations be forwarded to Cabinet for approval. By way of Microsoft Forms, and in noting there were 12 for, 0 against and 0 abstentions. this was unanimously agreed.

RECOMMENDED to Cabinet that the proposal to strengthen contract management arrangements be approved.

The Chair thanked all Members and Officers present for their support and commitment over the last twelve months, and the meeting closed at 6.20 p.m.

Approved as a correct record and subject to any amendments or corrections agreed and recorded in the minutes of the meeting held on 18th May 2021.

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CHAIR

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## ENVIRONMENT AND SUSTAINABILITY SCRUTINY COMMITTEE – 18<sup>TH</sup> MAY 2021

**SUBJECT: ENVIRONMENT AND SUSTAINABILITY SCRUTINY  
COMMITTEE FORWARD WORK PROGRAMME**

**REPORT BY: CORPORATE DIRECTOR FOR EDUCATION AND  
CORPORATE SERVICES**

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### **1. PURPOSE OF REPORT**

- 1.1 To report the Environment and Sustainability Scrutiny Committee Forward Work Programme.

### **2. SUMMARY**

- 2.1 Forward Work Programmes are essential to ensure that Scrutiny Committee agendas reflect the strategic issues facing the Council and other priorities raised by Members, the public or stakeholder.

### **3. RECOMMENDATIONS**

- 3.1 That Members consider any changes and agree the final forward work programme prior to publication.

### **4. REASONS FOR THE RECOMMENDATIONS**

- 4.1 To improve the operation of scrutiny.

### **5. THE REPORT**

- 5.1 The Environment and Sustainability Scrutiny Committee forward work programme includes all reports that were identified at the scrutiny committee meeting on Tuesday 23<sup>rd</sup> March 2021. The work programme outlines the reports planned for the period May 2021 to March 2022.
- 5.2 The forward Work Programme is made up of reports identified by officers and members. Members are asked to consider the work programme alongside the

cabinet work programme and suggest any changes before it is published on the council website. Scrutiny committee will review this work programme at every meeting going forward alongside any changes to the cabinet work programme or report requests.

- 5.3 The Environment and Sustainability Scrutiny Committee Forward Work Programme is attached at Appendix 1, which presents the current status as at 10<sup>th</sup> May 2021. The Cabinet Work Programme is attached at Appendix 2. A copy of the prioritisation flowchart is attached at appendix 3 to assist the scrutiny committee to determine what items should be added to the forward work programme.

#### 5.4 **Conclusion**

The work programme is for consideration and amendment by the scrutiny committee prior to publication on the council website.

### 6. **ASSUMPTIONS**

- 6.1 No assumptions are necessary.

### 7. **SUMMARY OF INTEGRATED IMPACT ASSESSMENT**

- 7.1 As this report is for information only an Integrated Impact Assessment is not necessary.

### 8. **FINANCIAL IMPLICATIONS**

- 8.1 There are no specific financial implications arising as a result of this report.

### 9. **PERSONNEL IMPLICATIONS**

- 9.1 There are no specific personnel implications arising as a result of this report.

### 10. **CONSULTATIONS**

- 10.1 There are no consultation responses that have not been included in this report.

### 11. **STATUTORY POWER**

- 11.1 The Local Government Act 2000.

Author: Mark Jacques, Scrutiny Officer jacqu@carphilly.gov.uk

Consultees: Mark S. Williams, Interim Corporate Director of Communities  
Robert Tranter, Head of Legal Services/ Monitoring Officer

Lisa Lane, Head of Democratic Services and Deputy Monitoring Officer,  
Legal Services  
Councillor Tudor Davies, Chair of Environment and Sustainability Scrutiny  
Committee  
Councillor Adrian Hussey Vice Chair of Environment and Sustainability  
Scrutiny Committee

Appendices:

- Appendix 1 Environment & Sustainability Scrutiny Committee Forward Work Programme
- Appendix 2 Cabinet Forward Work Programme
- Appendix 3 Forward Work Programme Prioritisation Flowchart

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<b>Forward Work Programme - Environment &amp; Sustainability</b>				
Date	Title	Key Issues	Author	Cabinet Member
18/05/21 17:30	Notice of Motion - Booking System for Recycling Centres	To present the Notice of Motion submitted by Councillor Kevin Etheridge in line with the Council Constitution	Sullivan, Emma;	Cllr. George, Nigel;
18/05/21 17:30	Contact Tracing Service Update		Hartshorn, Robert;	Cllr. George, Nigel;
22/06/21 17:30	Public Protection Annual Report		Hartshorn, Robert;	Cllr. George, Nigel;
22/06/21 17:30	Well Being Objective End of Year Update			Cllr. Ridgewell, John;
22/06/21 17:30	Notice of Motion - Real Nappies	To present the notice of motion submitted by Councillor Andrew Farina-Childs in line with the Council's constitution.	Sullivan, Emma;	Cllr. George, Nigel;
22/06/21 17:30	Information Item - Highway maintenance budget apportionment		Lloyd, Marcus;	Cllr. Ridgewell, John;
14/09/21 17:30	Update on Sport and Active Recreation Strategy			Cllr. Ridgewell, John;
14/09/21 17:30	Directorate Performance Report – Communities Year End Report 2020/21		Williams, Mark S;	Cllr. Ridgewell, John;
26/10/21 17:30	Active Travel Network Map Review and Consultation		Lloyd, Marcus;	Cllr. Ridgewell, John;

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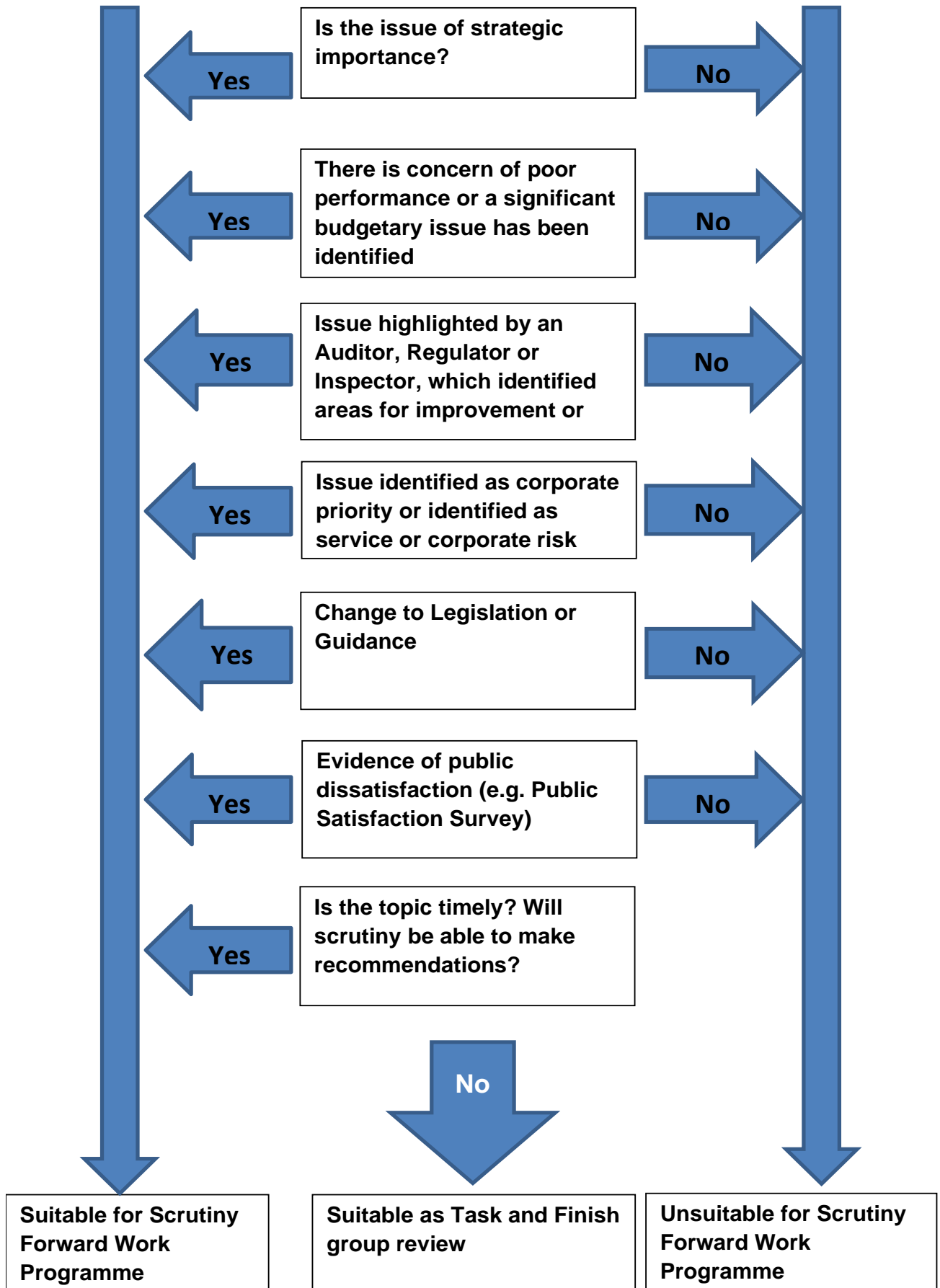


## Cabinet Forward Work Programme – May and June 2021

19/05/2021 10:30	Levelling Up fund	To outline the opportunities and constraints for the Council presented by the new UK funding programmes and initiatives including eligibility criteria, funding allocations and development timescales and propose an initial pipeline list of Council projects eligible for submission to the new funding programmes	Kyte, Rhian; Williams, Mark S;	Cllr. Morgan, Sean;
19/05/2021 10:30	A469 Troedrhifwuch Highway Improvement Scheme	Seeking the views of Cabinet on Capital funding of £300k being made available to allow progression and development of the outline design and detailed budget estimate for the next phase of the A469 Troedrhifwuch highway improvement scheme.”	Lloyd, Marcus; Williams, Mark S;	Cllr. Ridgewell, John;
19/05/2021 10:30	ITC Strategy	To consider the strategy which is the road map proposal on how we take forward ICT in the Authority to enable Digital advancement	Lucas, Liz; Williams, Gwyn;	Cllr. Stenner, Eluned;
09/06/2021 10:30	Employee Volunteering Scheme	To seek the views of Cabinet on a proposed Employee Volunteering Scheme. To seek Cabinet approval to implement the Scheme with a review carried out at 12 months on the uptake and benefits of the Scheme. To seek the view of Scrutiny members on the development of an Employee Volunteering Scheme prior to consideration by Cabinet on 09/06/21	Foley, Sonya;	Cllr. Stenner, Eluned;
09/06/2021 10:30	Welsh Language Standards Annual Report	To inform members and seek their endorsement of the progress made during the financial year 2020-2021 against four specific areas of Welsh language work, as required under the regulatory framework for implementing the Welsh Language Standards. The report will then be published online by the deadline date of 30 June 2021, as required by Welsh Language Standard 158.	Cullinane, Anwen; Peters, Kathryn;	Cllr. Stenner, Eluned;

09/06/2021 10:30	Investment at Virginia Park/ 2nd Satellite at St Cenydd for Trinity	Recommendation to utilise Capital Earmarked Reserves for investment in Virginia Park (Youth Service & EOTAS provision); and at St Cenydd Comprehensive for a 2nd Satellite Class on this site for Trinity Fields.	Southcombe, Jane;	Cllr. Whiting, Ross;
09/06/2021 10:30	Crumlin Institute	Recommendation to give notice to the Landlords to vacate this site in line with a lease break clause late June 2021.	Southcombe, Jane;	Cllr. Whiting, Ross;
09/06/2021 10:30	Options for the reopening of Customer Services and Cash Handling	The report will outline options for the potential reopening of Customer Services and the future arrangements for cash handling.	Harris, Stephen R;	Cllr. Stenner, Eluned;
23/06/2021 10:30	Financial Sustainability Assessment 2020/21 – Caerphilly County Borough Council.	To present the Audit Wales report on the findings of the 2020/21 Financial Sustainability Assessment for Caerphilly CBC. Presenting Officer – Gareth Jones (Audit Wales).	Harris, Stephen R;	Cllr. Stenner, Eluned;
23/06/2021 10:30	Provisional Revenue Outturn for 2020/21	To provide Cabinet with details of the provisional revenue budget outturn for the 2020/21 financial year prior to the annual external audit of the accounts by Audit Wales.	Harris, Stephen R;	Cllr. Stenner, Eluned;
23/06/2021 10:30	Provisional Capital Outturn for 2020/21	To provide Cabinet with details of the provisional capital outturn for the 2020/21 financial year prior to the annual external audit of the accounts by Audit Wales.	Harris, Stephen R;	Cllr. Stenner, Eluned;
23/06/2021 10:30	Delivering Good Governance – Caerphilly County Borough Council	To present the Audit Wales report on the findings of the Delivering Good Governance review for Caerphilly CBC. Presenting Officer – Gareth Jones (Audit Wales).	Harris, Stephen R;	Cllr. Stenner, Eluned;

### Scrutiny Committee Forward Work Programme Prioritisation



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## **ENVIRONMENT AND SUSTAINABILITY SCRUTINY COMMITTEE – 18TH MAY 2021**

**SUBJECT: NOTICE OF MOTION – POTENTIAL BOOKING SYSTEM FOR  
RE-CYCLING SITES**

**REPORT BY: CORPORATE DIRECTOR EDUCATION AND CORPORATE  
SERVICES**

### **1. PURPOSE OF REPORT**

- 1.1 The Environment and Sustainability Scrutiny Committee is asked to consider the Notice of Motion as set out in paragraph 5.1 of the report and make an appropriate recommendation to Council. In accordance with Rule 11(3) of the Constitution.

### **2. SUMMARY**

- 2.1 A Notice of Motion has been received from Councillor Kevin Etheridge and is supported by Councillors R. Gough, G. Simmonds, N. Dix, A. Farina-Childs, R. Owen and C. Mann.
- 2.2 The Notice of Motion meets the criteria set out in the Council's Constitution and in accordance with the Council's Rules of Procedure is now referred to the Environment and Sustainability Scrutiny Committee for consideration, prior to its consideration by Council.

### **3. RECOMMENDATION**

- 3.1 The Environment and Sustainability Scrutiny Committee are asked to consider the notice of motion as outlined in paragraph 5.1 and make an appropriate recommendation to Council.

### **4. REASONS FOR THE RECOMMENDATION**

- 4.1 In accordance with the Council's Constitution.

### **5. THE REPORT**

- 5.1 **Notice of Motion**

Councillor Etheridge requests that following the consultation and engagement exercise concerning a potential booking system for the re-cycling sites request the matter is brought before FULL Council for a discussion, debate and vote on the merits of such a scheme with the relevant evidence from other authorities in a detailed report.

- 5.2 The decision on whether to adopt a booking system at the council's civic amenity sites would be an officer delegated decision or for the cabinet to make.

## **6. ASSUMPTIONS**

- 6.1 As a notice of motion is a procedural matter and must be dealt with in accordance with Council's Constitution, no assumptions have been made.

## **7. SUMMARY OF INTEGRATED IMPACT ASSESSMENT**

- 7.1 This report does not require an Integrated Impact Assessment as it relates to a procedural matter under the Councils Constitution.
- 7.2 The procedural rules regarding a Notice of Motion are contained within Council's Constitution as adopted in May 2002. The Council's Constitution sets out the framework for the decision-making roles and responsibilities.
- 7.3 However, the outcome of the Notice of Motion and any subsequent reports arising from it, may require an Integrated Impact Assessment.

## **8. FINANCIAL IMPLICATIONS**

- 8.1 There are no financial implications associated with this report.

## **9. PERSONNEL IMPLICATIONS**

- 9.1 There are no personnel implications associated with this report.

## **10. CONSULTATIONS**

- 10.1 There has been no consultation undertaken.

## **11. STATUTORY POWER**

- 11.1 Local Government Act 2000

Author: Emma Sullivan (Senior Committee Services Officer)

Appendices: Appendix 1 Signed copy of Notice of Motion.

**NOTICE OF MOTION – POTENTIAL BOOKING SYSTEM FOR RE-CYCLING CENTRES**

To consider the undersigned Notice of Motion standing in the name of County Borough  
Councillor Kevin Etheridge and supported by the Members listed.

We the undersigned elected members following the consultation and engagement exercise concerning a potential booking system for the re-cycling sites request the matter is brought before FULL Council for a discussion, debate and vote on the merits of such a scheme with the relevant evidence from other authorities in a detailed report.

Signed:

A solid black rectangular box redacting the signature of Cllr Kevin Etheridge.

Cllr Kevin Etheridge

Confirmation of support sent by email: Cllr Robert Gough, Cllr Graham Simmonds, Cllr Andrew Farina Childs, Cllr Robert Owen, Cllr N. Dix and Cllr Colin Mann.

31<sup>st</sup> March 2021

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## ENVIRONMENT AND SUSTAINABILITY SCRUTINY COMMITTEE – 18TH MAY 2021

**SUBJECT: CAERPHILLY CONTACT TRACING SERVICE**

**REPORT BY: CORPORATE DIRECTOR, ECONOMY AND ENVIRONMENT**

### **1. PURPOSE OF REPORT**

- 1.1 The purpose of this report is to provide an update on the establishment, development, operation, and performance of Caerphilly's Contact Tracing Service.

### **2. SUMMARY**

- 2.1 Welsh Government's Test, Trace, Protect strategy forms a central part of their Covid-19 recovery plan. Community contact tracing is a key element of the strategy and Welsh Government asked Local Authorities and Health Boards jointly to put in place contact tracing services in their regions.
- 2.2 The Caerphilly Contact Tracing Team operates as part of the Gwent Contact Tracing Service. This is a project initiated in May 2020 in response to Welsh Government's *Test, Trace, Protect Strategy*, and went live in June 2020. The service has developed considerably since that time and this report provides an overview of the operation of the service and performance for Members' consideration.

### **3. RECOMMENDATIONS**

- 3.1 It is recommended that Scrutiny Committee consider the development, operation and performance of the Caerphilly Contact Tracing service and offer any recommendations for the future operation and development of the service.

### **4. REASONS FOR THE RECOMMENDATIONS**

- 4.1 In order to strengthen oversight of the contact tracing element of the response to the Covid-19 pandemic.

## 5. THE REPORT

- 5.1 The Council's response to the coronavirus pandemic has been organisation wide. There are some staff and services whose roles have been redefined as a consequence of the pandemic and some entirely new services have been established. One new service has been the Council's Contact Tracing Team and this report provides Members with an update on the development, operation, and performance of that service.
- 5.2 Contact tracing is a tried and tested method of controlling the spread of infectious diseases. The aim is to protect health and support the ongoing work to control the spread of coronavirus. As soon as someone starts to display symptoms, they should arrange to take a test as quickly as possible whilst they, and members of their household, self-isolate. On receiving a positive test result Contact Tracing Team will get in touch to ask where they have been recently and who they have been in close contact with. Anyone who may have caught the virus from them is considered a close contact, and the Contact Tracing Team will also get in touch with them to notify them to self-isolate (and take a test if they are also displaying symptoms), to help stop the spread of the virus.
- 5.3 The Caerphilly Contact Tracing Team operates as part of the Gwent Contact Tracing Service. This is a project initiated in May 2020 in response to Welsh Government's *Test, Trace, Protect Strategy*, and went live in June 2020 thanks to redeployed staff working completely agilely using an interim IT system. With the introduction of an all Wales IT system and a Welsh Government funded recruitment process the service has developed significantly since those early days.
- 5.4 The contact tracing service operates 7 days a week and our local contact tracing team is supported by local authority Environmental Health Officers (EHOs) across the region and by Clinical Leads, Consultants in Health Protection, and Consultants in Communicable Disease from Aneurin Bevan University Health Board (ABUHB) and Public Health Wales. The contact tracing teams are supported by Environmental Health Officers (EHOs); EHOs deal with the more sensitive and high risk cases, such as cases associated with closed settings such as care homes, schools and factories, especially where clusters and localised outbreaks have developed. EHOs from each of the five local authorities share the regional out of hours response to support the contact tracing team and to deal with matters that require escalation and further investigation or control measures.
- 5.5 The system operates on the basis that a local authority team will be responsible for tracing and contacting cases associated within their local authority; however, depending on demand the teams will be flexed to support the wider Gwent response as required. A partnership model is in place for the Service which is reflected in the Delivery Framework at Appendix A. ABUHB are the banker for the regional service and receive funding from Welsh Government and reimburse each organisation expenditure incurred and produce regular reports to a Leadership Group and G10 as appropriate. Torfaen county borough council host a Regional Coordination Unit which supports and ensures the effective delivery of the Service.
- 5.6 At its peak the Caerphilly Contact Tracing Team consisted 148 full time equivalent (FTE), head count of 172 employees. This comprised of 63.7 FTE temporary redeployed staff and 74.0 FTE staff directly recruited into the service as detailed in the table below.

100% of Model Workforce			FTE		
Caerphilly TTP	FTE	Head Count	TTP Recruited Staff	CCBC Seconded Staff	CCBC Temporary Redeployed Staff
Team Manager	1	1	-	1.0	-
Business Co-ordinators	8	9	4.8	3	0.2
Contact Tracers	60	63	25.0	7.0	28.0
Contact Advisors	79	99	38.0	5.5	35.5
<b>Total TTP Team</b>	<b>148.0</b>	<b>172.0</b>	<b>67.8</b>	<b>16.5</b>	<b>63.7</b>

5.7 At the time of writing this report redeployed staff have largely returned to their substantive services, but a process of regular “Keep In Touch” Days is in place and they have retained supplied equipment so that they can be called upon to assist should case numbers increase and it be necessary to flex up the service again. There are currently approximately 83.3 FTE staff in the Caerphilly Contact Tracing Team with funding currently confirmed through to the end of September 2021.

5.8 As of 10<sup>th</sup> April 2021 over 12,000 cases required contact tracing in Caerphilly of which 99.8% were successfully contacted with 97.9% of over 21,000 contacts of cases also successfully contacted as detailed in the table below.

<b>Reporting Period: 15th June 20 - 10th April 21</b>	<b>Gwent Total</b>	<b>Caerphilly</b>
No. Positive Cases	41549	<b>13439</b>
No. Positive Cases Eligible for Tracing	37606	<b>12130</b>
No. Positive Cases Successfully Contacted	37532	<b>12102</b>
% Successfully Contacted	<b>99.8</b>	<b>99.8</b>
No. Contacts generated	68864	<b>21985</b>
No. Contacts Eligible for contact	68662	<b>21933</b>
No. Contacts Successfully contacted	66975	<b>21474</b>
% Successfully Contacted	<b>97.5</b>	<b>97.9</b>

5.9 In March 2021 Audit Wales published a report: *Test, Trace, Protect in Wales: An Overview of Progress to Date*. Audit Wales found that Test Trace Protect is a well-established mechanism to control the spread of infectious disease. It involves contacting and providing advice to people who have tested positive, finding out who

their close contacts have been, and reaching those close contacts to advise them on what they need to do. Contact tracers try to build trust to find out who people have been in contact with, especially where they may be reluctant to admit they have broken the rules. Tracers also play a key role in advising people of the importance of self-isolating, and to flag up with wider public and third sector services where additional support may be needed as part of TTP

5.10 In March 2021 Welsh Government's Technical Advisory Group (TAG) published a paper modelling the Welsh TTP (Test, Trace, Protect) system. TAG estimated that during winter high transmission and prevalence (outside of firebreak), TTP reduced R (the growth rate of coronavirus) from approximately 1.7 to 1.3. Using recent R values and improvements to case ascertainment and test and trace times, the effect may be a reduction from approximately 1.3 to 0.8.

5.11 There are a number of ongoing developments to the Contact Tracing service. For example, all positive cases are now Backward Contact Traced; this is enhanced contact tracing looking back over a 2 week period, which plays a vital role in the effort to minimise and prevent the spread of Covid-19. The Caerphilly team are also now tracing Contacts of arriving travellers that become positive cases. From the 1st April 2021 we have introduced a telephone citizen support service. The service has good relationships with schools and is currently working with Primary School head teachers to identify where improvements to process or support can be implemented. We are also looking to pilot different ways of working in engaging with Caerphilly residents that do not answer phone calls to understand how we can evolve the service and support people as we ease lockdown restrictions

#### 5.12 **Conclusion**

The Caerphilly Contact Tracing Service has worked collaboratively across Gwent to manage demand and share best practice to shape the service consistently. It is a service created from scratch and is a strong example of the public sector working effectively in partnership in response to the Covid 19 pandemic.

### **6. ASSUMPTIONS**

6.1 Assumptions have been made regarding the workforce requirements for the service. Workforce modelling has informed the nature, number, and timing of the staffing requirements of the service which has also been the subject of discussions with Welsh Government.

### **7. SUMMARY OF INTEGRATED IMPACT ASSESSMENT**

7.1 This report is for information and has no decision-making requests, so the Council's full Integrated Impact Assessment process has not been applied.

### **8. FINANCIAL IMPLICATIONS**

8.1 Aneurin Bevan University Health Board receive funding from Welsh Government and organisations are reimbursed thoroughly monthly claims with evidence of expenditure.

## 9. PERSONNEL IMPLICATIONS

- 9.1 There are no direct personnel implications arising from this report. Whilst staff will return to their substantive roles at the end of the temporary redeployment arrangement, they may be called upon again should another spike in cases occur. The service has provided an opportunity for staff to contribute to the response to the pandemic and some feedback from staff is provided at Appendix B.

## 10. CONSULTATIONS

- 10.1 The consultees listed below have been consulted on this report and their views have been incorporated accordingly.

## 11. STATUTORY POWER

- 11.1 The Public Health (Control of Disease) Act 1984.  
The Local Government Act 2000  
Welsh Ministers have passed a number of Regulations dealing with coronavirus restrictions and requirements and the latest details can be found here:  
[Welsh Government webpage - Coronavirus legislation: related legislation](#)

Author: Rob Hartshorn, Head of Public Protection, Community & Leisure Services

Consultees: Cllr Nigel George, Cabinet Member for Environment and Neighbourhood Services  
Cllr. D.T. Davies – Chair of Environment & Sustainability Scrutiny Committee  
Cllr. A. Hussey - Vice Chair of Environment & Sustainability Scrutiny Corporate Management Team  
Mark S. Williams –Corporate Director, Economy & Environment  
Rob Tranter, Head of Legal Services/Monitoring Officer  
Steve Harris, Head of Financial Services & S151 Officer  
Lynne Donovan, Head of People Services  
Ceri Edwards Environmental Health Manager  
Anwen Cullinane, Senior Policy Officer (Equalities & Welsh Language)  
Ruth Betty, Customer Tracing Team Manager  
Shaunna Morgan, Principal Human Resources Officer  
David Roberts, Principal Group Accountant

### Background Papers:

*Test Trace Protect Strategy*, Welsh Government  
*Test, Trace, Protect in Wales: An Overview of Progress to Date* Audit Wales, March 2021  
*Modelling the Current Welsh TTP (Test, Trace, Protect) System*, Welsh Government Technical Advisory Group, 24<sup>th</sup> March 2021

### Appendices:

Appendix A Gwent Test, Trace, Protect Service – Contact Tracing Governance & Delivery Framework

Appendix B Staff Feedback

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## GWENT TEST, TRACE, PROTECT SERVICE – CONTACT TRACING

## GOVERNANCE &amp; DELIVERY FRAMEWORK

FEBRUARY 2021

Version	Produced/Updated by	Date	Released to
Business Case v.1	Rachel Jowitt	10.06.20	Contact Tracing Sub-Group
Business Case v.2	Rachel Jowitt	15.06.20	Rob Hartshorn, Mezz Bowley, Eryl Powell for comment
Business Case v.3	Rachel Jowitt	19.06.20	Contact Tracing Main Sub-Group
Business Case v.4	Rachel Jowitt	22.06.20	G10
Business Case v.5	Rachel Jowitt	01.07.20	Leadership Group
Business Case v.6	Rachel Jowitt / Dan Westwood	15.07.20	Leadership Group
Business Case v.7	Rachel Jowitt	16.07.20	Welsh Government & Leadership Group
(Renamed) 'G&D Framework' v.8	Jonathan Keen	10.02.21	Leadership Group for comment
'G&D Framework' v.9	Jonathan Keen	24.02.21	G10 Regional Board for approval (Approved 02.03.21)

## The National Agenda

On 13<sup>th</sup> May 2020 Welsh Government (WG) published their Test, Trace and Protect overarching plan which is supported by the Public Health Wales (PHW) Public Health Protection Response Plan. These plans clearly outlined that Local Health Boards and Local Authorities are central in the strategy to set up regional and local contact tracing structures in our efforts to get out and stay out of lockdown. The overall purpose of the plan and the creation of a new service is to “find, prioritise, act and report”. As evidenced elsewhere around the world a successful and effective contact tracing service can make a significant contribution to the reduction of the R rate, the resilience and sustainability of our vital services needed to support those in need, and to aide economic recovery. Its importance therefore cannot be overestimated or exaggerated. In a nutshell as local partners we cannot afford to get this wrong.

**This updated document (previously a Business Case) outlines the governance and operation of the service to deliver Contact Tracing and associated activity across the Aneurin Bevan University Health Board area – for ease of reference called the Gwent Test, Trace, Protect Service (GTTPS).**

**Background to the development of the GTTPS to date**

Gwent has been Contact Tracing since the beginning of June 2020. A sub-group of the Strategic Coordinating Group (SCG) (set up in response to the declaration of the pandemic emergency) was convened in May 2020 to coordinate this work. This group was chaired by Rob Hartshorn (Caerphilly) and included representatives from Aneurin Bevan University Health Board (ABUHB) and the 5 Local Authorities (Blaenau Gwent (BG), Caerphilly (CCBC), Monmouthshire (MCC), Newport (NCC) and Torfaen (TCBC). At that time, the group was also supported by the Shared Resource Service (SRS) for IT and systems development. This group later evolved into the Leadership Group.

A draft Operational Plan was agreed by the Group on 11<sup>th</sup> May 2020 which was submitted to WG to evidence the work that had been done. This gave confidence that all workstreams were being considered and that there was a clear way forward to meet WG expectations that Contact Tracing would begin by the end of May/beginning of June 2020.

In the initial period each organisation recruited staff to the level advised by the Operational Plan approved in May 2020. It was recognised that the numbers proposed in that document were based on the initial PHW plan and did not consider the staffing requirements of the IT system that had been introduced. The table used for the initial set up is at Appendix 1.

Clinical Leads were employed by ABUHB and worked as part of an integrated team with Contact Tracers/Advisors/ administrators/ project managers being sourced from within LAs. ABUHB had a Staff Wellbeing Team to contact trace the work-place contacts of Health Board staff and provide well-being support. ABUHB also had a temporary central project management team leading on communications, testing, outbreak management etc.

The project evolved quickly, and a suitable structure was developed to deliver and support the Service. This included local level key posts to ensure effective workflows, a technical Regional Oversight Group and the resourcing and support for the overall governance, programme management, due diligence and support for the lead organisation.

The Business Case (v.7) was approved to create what was then named the Gwent Contact Tracing Service.

The Service continued to evolve into what is now routinely referred to as the Gwent Test, Trace, Protect Service: the workforce structure evolved and in particular it was necessary to significantly increase the size of the workforce during the autumn of 2020 in the face of rising numbers of cases of Covid-19. Relevant decisions were taken by the Leadership Group and later endorsed by the G10. Contact Tracing is not only delivered by the 5 local authority teams but also by a team within the Health Board. All are supported by the Regional Cell Delivery Programme, a small team of Public Health Incident Practitioners (within ABUHB) and by the Coordination Unit which is hosted by Torfaen CBC.

Groups alongside the GTTPS were also developed in response to the pandemic, such as the Gwent Incident Management Team (GIMT) which sits above the 5 Local Incident Management Teams (local Outbreak Control Teams) and acts as the Regional Outbreak Control Team (as per The Communicable Disease Outbreak Plan for Wales). The GIMT



reports into the Gwent Strategic Coordinating Group (SCG) but also directly into the Welsh Government.

## THE GWENT TEST, TRACE, PROTECT SERVICE

### PURPOSE OF THE SERVICE

**Find, Act, Prioritise and Report; Stay at Home; Save Lives**

### OUR COLLECTIVE AIM

To protect our residents through breaking the chains of transmission of Covid-19 in our communities and places of work.

### OBJECTIVES OF THE GTTPS

#### Outward Facing

1. To **deliver** the national Test, Trace and Protect strategy
2. To **quickly** identify positive/symptomatic cases
3. To **sensitively** work with our residents and staff to self-isolate and share details of their contacts
4. To **effectively** reach at risk contacts and advise appropriately
5. To **supportively** keep in touch with our at-risk and socially vulnerable residents to **protect** the wider population
6. To **openly** communicate with our residents, to **reassure**, to **explain** their part in this endeavour and **instil confidence** in the Covid response strategy to seek their continued **support** and **commitment**

#### Internal

7. To create an **effective** and **efficient** GTTPS
8. To have a **strong** partnership across the 6 Gwent organisations that **focuses** on **outcomes** and **purpose**
9. To be **supportive** and **equality** focused employers
10. To have **robust** governance arrangements in place
11. To be **adaptable** and **responsive**

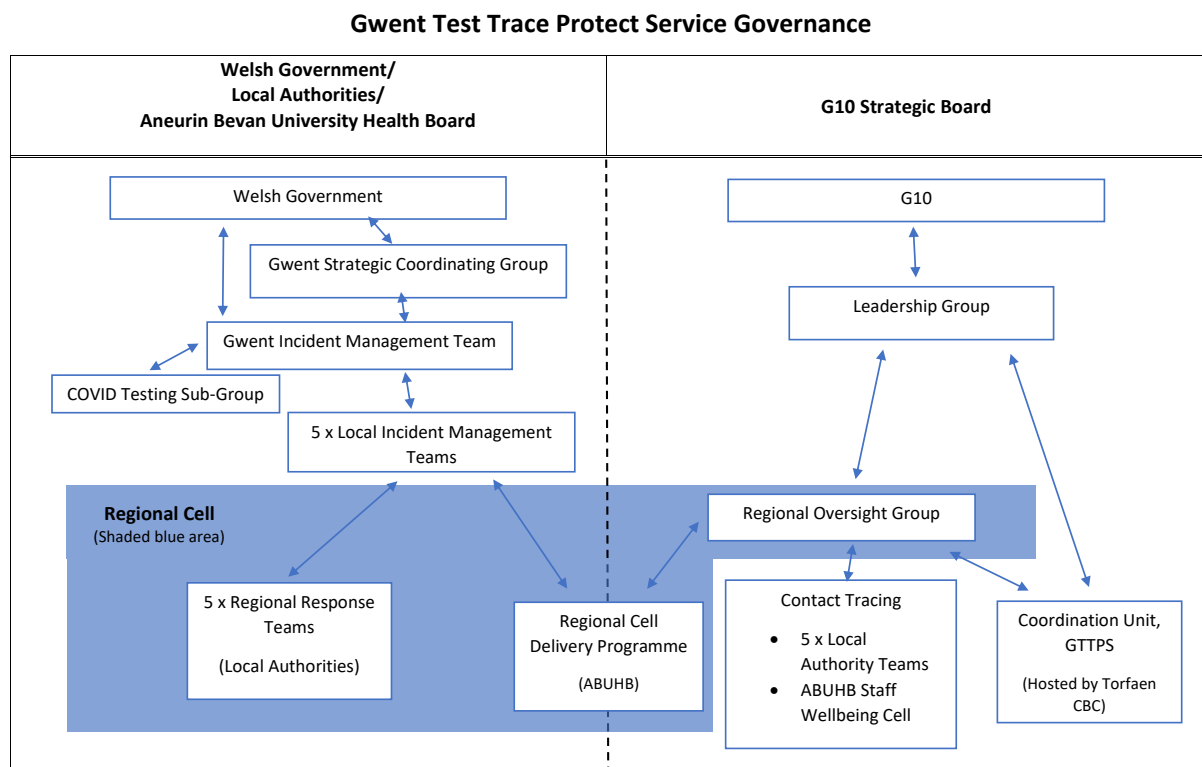
### PRINCIPLES OF THE SERVICE

1. Honesty: - essential to instil resident confidence in the performance of the service to ensure continued support for the stay at home: save lives strategy
2. Openness:- transparency on performance, reach, effectiveness with residents, stakeholders and between organisations.
3. Solution focused:- recognition that there will be problems but all committed to ensuring success

4. Working together:- has to be a true partnership, with all committed to the purpose and aims
5. Clarity:- on roles, responsibilities, outcomes and contribution needed from each partner
6. Subsidiarity:- the right work is done at the right level
7. Resourced:- both from a funding (WG) and in-kind contribution from partner organisations
8. Mutual aid:- if clusters emerge that partners deploy their resources (where appropriate) to ensure the service can continue to meets its aim and purpose
9. Flexibility:- appreciating that things will evolve, change and also that the service will in/decrease as demand fluctuates

## GOVERNANCE

The governance of the GTTPS and the wider groups involved is set out in the following framework:



As can be seen, the overall landscape of the response to the pandemic is complex, however the governance of the GTTPS is clearly set out on the right hand side of the diagram. The governance of the Regional Cell Delivery Programme (ABUHB) is provided by the G10 in respect of those posts and activities funded by the WG funding for the GTTPS.

It should be noted that testing of individuals to detect Covid-19 does not form part of the remit of the GTTPS in this context.

## ROLES AND RESPONSIBILITIES

### G10 – Regional Board

- Ensuring the GTTPS is delivering against its stated purpose, aims and objectives
- Ensuring the GTTPS is delivered against its stated principles
- Representing the interests of their respective organisations
- Strategic oversight of the establishment of the GTTPS
- Agreeing the financial framework of the GTTPS noting that commitment of additional resources (financial, human etc.) will be referred to organisations (where arrangements have not been made to delegate these functions to the partnership by the constituent bodies)
- Ensuring effective governance, leadership and management of the GTTPS
- Ensuring the effective planning and delivery of the GTTPS
- Scrutinising the performance of the GTTPS
- Ensuring effective decommissioning of and exit strategy for the GTTPS
- Ensuring the GTTPS is operating in alignment with and complimenting wider Covid-19 strategies
- Promoting the interests of the GTTPS to national partners, particularly Welsh Government and Public Health Wales.

The Terms of Reference for the Board are at Appendix 2.

The **Leadership Group** will be responsible for:

- Supporting the Board achieve its aims and purpose
- Supporting the Lead Organisation with recruitment and oversight of the Regional Coordinator
- Ensure their organisations participate fully in the partnership
- Monitor and scrutinise the implementation of the Action Plan
- Monitor and ensure all risks are mitigated and addressed

The Terms of Reference for the Leadership Group are at Appendix 3.

The **Lead Organisation** (Torfaen County Borough Council) will be responsible for

- the hosting and management of the **Coordination Unit, GTTPS** (previously known as the Programme Management Office, Torfaen CBC)
- Ensuring appropriate governance and effectiveness of the Coordination Unit, GTTPS and the wider service is reported to the Regional Board

The **Coordination Unit, GTTPS** will be responsible for:

- Administration and support of the Leadership Group, and G10 Update reports
- Liaison with partner organisations which have specific lead roles within the GTTPS
- Quality assurance and performance reporting and review in relation to Contact Tracing

- Working with the NHS Wales Informatics Service (NWIS) to develop improvements in CRM to aid Contact Tracing efficiency and effectiveness
- Communications strategy and implementation including stakeholder and engagement management, including specific responsibility for leading on BAME and Socially Vulnerable Groups engagement
- Risk monitoring and management (lead responsibility for strategic risks and operational risk for local tracing teams – liaising with the Regional Cell Delivery Programme on their specific risks)
- Training strategy, keeping training materials relevant and in line with latest SOPs, Scripts and national guidance/changes to CRM. Coordinating or delivering training for the Contact Tracing teams
- Strategic HR oversight, monitoring and supporting implementation of organisation specific workforce plans to deliver Contact Tracing and ‘Protect’ activity
- Liaising with NWIS and local teams to manage CRM functionality changes and update local teams
- Coordinating the response to complaints from members of the public, Members of the Senedd, Members of Parliament etc. and ensuring that lessons learnt influence the development of training materials and the Quality Assurance work by the Coordination Unit.
- Representing the Service nationally
- Generally support and coordinate the local authority Contact Tracing teams.

#### **ABUHB Partnership Role**

- Provision of Clinical Leads to support the local contact tracing teams
- Public Health Wales interface
- Ensuring effectiveness of testing service and its relationship to contact tracing
- Lead finance function for the service
- Represent the Service nationally

#### **Regional Cell**

- Working pro-actively with settings (such as care homes, schools, large employers) identified as presenting specific risks and respond to small clusters, incidents and outbreaks within the region
- Use surveillance outputs to identify hotspots/clusters with high transmission rates (including sub-population groups) and mobilise regional response teams accordingly, as well as contribute data to the national dashboard
- The Regional Cell comprises of the **Regional Oversight Group** and **Regional Response Teams**. This group also has support from the Regional Operational Planning Group (a task and finish sub-group to the Regional Oversight Group) and the Data Cell. Additionally, the Regional Cell has a dedicated programme office, now called the **Regional Cell Delivery Programme**, formerly called the Regional Cell PMO.

#### **Regional Oversight Group**

- To utilise the resource within the Regional Cell Delivery Programme (hosted by ABUHB) and GTTPS Coordination Unit (hosted by TCBC) to support the strategic decision making within the ROG
- To provide a strategic overview in the identification of mutual support where significant multiple issues arise in one or more LA areas
- To receive an overview of the issues in relation to operational delivery and management within complex or closed settings, such as education settings that are pertinent to ROG
- Interfacing and Representing Gwent region on Welsh Government TTP Task Group and interfacing with this group and PHW on specific issues and actions raised at ROG
- To capture and manage specific and relevant ROG risks for the effective management of the incident with Gwent
- To escalate issues and appropriate decisions to the ABUHB Testing lead, GTTPS Leadership Group and Gwent IMT and The GIMT Testing Sub-Group (where appropriate).
- To discuss and provide oversight on the wider impact of testing and testing approaches with respect to their impact on contact tracing
- To consider the Performance/efficiency of the GTTPS in the context of delivering its objectives and to agree any changes to the tracing process that would help minimise the spread of the virus through contacting cases and contacts as quickly as possible
- To provide quality oversight of the GTTPS supported by GTTPS – CU to implement a quality assurance framework
- To utilise the Regional Operational Planning Group to develop specific task and finish projects/activities to support the development of operational protocols and improvements to approaches

The Terms of Reference for the Regional Oversight Group (draft at the time of writing) are included at Appendix 4.

### **Regional Response Teams**

- Act as the Local Outbreak Control Teams (as per The Communicable Disease Outbreak Plan for Wales) on a LA specific basis

The **Regional Cell Delivery Programme** will be responsible for:

- Providing Programme Management planning and deliverables in support of the key Regional cell groups including the **Staff Well-Being Cell, Public Health Incident Practitioners, Data Cell and Care Homes Cell**
- Providing Programme support to ABUHB Finance Team around TTP Resourcing, with a specific focus on workforce planning
- Working with NWIS and the CU, GTTPS on specific projects to develop improvements in CRM and the tracing process/tools
- Continued support on and provision of the Workforce Modelling Tool developed by ABCi.
- Responding to TTP queries directed towards the Health Board from Stakeholders including, MPs and Members of the Senedd
- Liaising with external regulators such as Audit Wales in respect of TTP

- Providing TTP briefings and updates to the ABUHB Exec Board, Board and other internal Health Board stakeholders
- Support for the Gwent IMT and five Local IMT's
- Development of a Risk Register and Risk management for the Regional Cell and liaising with the CU, GTTPS on broader risks
- Support for Regional Oversight Group (ROG) including administrative support and provision of the Chair
- Development and monitoring of the Post Fire-Break Action Plan now called the GTTPS – Covid-19 Management Action Plan, with weekly updates shared with GIMT

### **Staff Well-Being Cell**

#### **Key responsibilities**

- Pre-tracing and tracing of all Health Board staff (including GP's and non-commercial Pharmacy staff) that are symptomatic and then a full trace if confirmed positive.
- Developing and delivering a Staff Well-Being training programme for HB Tracers and Advisors
- Escalation and liaison on specific issues in relation to potential Infection Control issues within the HB.
- Provision of Well-being Support /sign posting following a positive test for staff.
- The management and tracing of all Gwent citizens arriving from those countries with specific restrictions in relation to countries impacted by the Variant and Mutations of Concern, including arranging testing at citizen's homes to support their quarantine.

### **Public Health Incident Practitioners**

#### **Key responsibilities**

- Analysing positive cases for LA teams.
- Reporting on case data and providing rolling 7-day headline data around contacts, clusters etc.
- Collaborating and developing a standardised case analysis summary case review report for Gwent.
- Supporting the Care Homes Cell – providing the locality Care Home MDT meetings with status updates and working with EHOs and Complex Care Team to support cluster management actions.
- Attending all 5 local authority IMTs in support of ABUHB Consultants in Public Health and providing information and analysis to support decision-making as required.
- Attending the weekly national Contact Tracing Capacity Meeting.

### **Data Cell**

#### **Key responsibilities**

- Supporting the response to COVID-19 by responding to ad hoc data requests from Gwent TTP staff including Public Health Consultants, Incident Practitioners, Environmental Health Officers.
  - Developed and maintaining a 'line list' of inpatient cases to record accurate information.
  - Support ongoing prison surveillance through the maintenance of a prison 'line list' and disseminating information to PHW

- Assisting with resolving queries regarding test results, including queries from external organisations, for example, Gwent Police
- Developing bespoke CRM queries and CRM dashboards to collate and present data on cases/contacts and TTP activity.
- Supporting the implementation of a process for monitoring returnees from countries that are on the Watch list in relation to Variants and Mutations of Concern. This includes maintaining a line list and providing PHW with summary data.
- Delivering CRM advanced find training to the Local Authority Data Analysts to support with improving data capture and bespoke reporting for IMT meetings.
- Directly accessing the CRM TTP data via the data warehouse to enable the development of bespoke surveillance report through Power BI.
- Working collaboratively with CU, GTTPS to share knowledge, guidance, CRM expertise and bespoke analysis to assist with the TTP quality audit framework.
- Developing and delivering bespoke data analysis training
- Production of regular reports for the Regional Oversight Group, Strategic Coordinating Group and data for regular briefings including for Members of Parliament/ Members of the Senedd, ABUHB staff weekly newsletter etc.

**Care Homes Cell (note that not all staff in the Care home cell are funded through the Welsh Governments TTP funding).**

**Key responsibilities**

- Chairing MDT Care homes meetings to review all incidents in care homes and other enclosed settings.
- Providing public health advice to additional multi-agency meetings in response to significant outbreaks in a number of Gwent Homes
- Arranging whole home testing for a number of older adult care homes and other enclosed settings
- Notifying EHOs, Complex Care and Commissioning Teams of new cases in care homes with ongoing outbreaks
- Arranging testing for newly symptomatic residents and staff.
- Arranging re-testing and risk assessments of asymptomatic care home workers in previously unaffected homes
- Contributing to specific risk assessments for individual resident's learning disabilities settings to maintain meaningful contact with their families.
- Arranging testing for new admissions and transfers between care homes.
- Producing a daily status report and twice weekly SITREP for relevant agencies
- Providing situational updates to the LRF Community Care Sub-Group and Health Board Closed Settings Group
- Attending WG meetings regarding care home testing

**Every Partner will**

- Employ their local contact tracing teams and maintain staffing levels as agreed by the modelling and predictions
- Provide robust and timely data
- Sign up to and work in the partnership in line with the principles identified above

- Participate fully at the Regional Oversight Group

## WORKFORCE

As mentioned previously, the local authority Contact Tracing workforce was increased during the autumn of 2020, following decisions taken by the Leadership Group and endorsed by the G10 at the meeting on 9 December 2020.

In summary the current local authority Workforce Plan for Contact Tracing is:

### 180.5 WTE Contact Tracers

### 217 WTE Contact Advisors

This includes redeployed staff in some local authorities.

Full details of the posts including numbers of management and support posts are shown at Appendix 5.

The summary of the current ABUHB workforce to undertake Contact Tracing (Staff Well-Being Cell), funded by the TTP funding, is as follows:

- Deputy Team Leader 3 WTE (with post still vacant 0.2)
- Contact Tracers 20 WTE (with posts still vacant 1.25)
- Contact Advisors 22 WTE (with 1.8 posts still vacant)

### Coordination Unit, GTTPS

Within the Coordination Unit there are the following posts:

- Head of Service
- Project Lead – CRM, Data, Risk & Quality Assurance
- Data, Risk & Quality Assurance Officer
- CRM Officer x2 (both posts vacant)
- Quality Assurance & Training Officer (proposed)
- Project Lead – Training, HR & Administration
- Training & Workforce Coordinator
- Administrator x2 (1 post vacant)
- Project Lead – Communications & Engagement
- Communications & Engagement Officer
- BAME Engagement Coordinator (yet to be appointed)
- BAME Engagement Officer (yet to be appointed)

### Regional Cell Delivery Programme (not including the Staff Well-being cell – as included above)

Role	Grade	Established WTE	Vacancy position as at 11 <sup>th</sup> Feb
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Consultant in PH	Consultant	2.54WTE	1.48
Specialist in Health Protection (note one of these is an Epidemiologist post)	Consultant	2.54WTE	2.54 (awaiting to on-board 0.2)
Programme Manager	8a/Contractor	1.8WTE	0
Senior Project Manager	7	1WTE	0
Senior Public Health Incident Practitioner	7	6WTE	0
Project Manager	6	2WTE	0
Business Support Officer	4	1WTE	0
Project Support Officer	3	4WTE	0
Clinical Lead Manager	8c	1WTE	0
Clinical Lead	7	10WTE	3
IP&C Nurse	6	1WTE	1
Principal Data Analyst	7	2WTE	0
Senior Information Analyst	6	1WTE	0
Data Analyst	5	2WTE	1

In addition to the above, the original business case identified 5 additional EHO posts to increase the capacity of the Regional Response teams and support the work of the Regional Cell and the Regional Oversight Group. That number has been increased and the number of EHOs recruited to as part of the GTTPS is now 6.5 WTE.

## IT & DATA SYSTEMS

The National system is based on a Microsoft Dynamics Customer Relationship Management (CRM) platform and has a telephony solution integrated into it called Solgari. This means that all Contact Advisor and Contact Tracer activity is performed from within one system.

The system is entirely cloud based which means staff can continue to use their corporate equipment and logins to access the system from wherever they need to work.

Solgari telephony has not proved to be reliable enough and it may be replaced by NWIS with another product. In the light of repeated failures of the system resulting in serious impacts (downtime of Contact Tracing activity) on the Service in late 2020, a costed proposal was submitted to the Leadership Group to put in place a contingency telephony solution on 14<sup>th</sup> January 2021 for local authority teams in Gwent. The solution proposed was an extension to the Microsoft Teams software already used by all local authority colleagues. This proposal was approved. Due to specific system integration issues with Health Board IT, an alternative costed proposal was also approved for ABUHB using the Avaya Softphone system.

### Information Governance and data sharing

To support the fight against COVID-19 an all Wales COVID-19 Joint Controller Agreement has been published. The purpose of this agreement is to support the sharing of personal data

between organisations, including those within the GTTPS, which can help deal with the COVID-19 outbreak.

The organisations included within the agreement are: Public Health Wales, Local Health Boards and NHS Wales Trusts, Welsh Local Authorities and other stakeholders, such as NHS Wales Informatics Service.

For more information on this protocol please see the link:

[www.wales.nhs.uk/documents/Covid19-JDCA%20v3.pdf](http://www.wales.nhs.uk/documents/Covid19-JDCA%20v3.pdf)

## **FINANCIAL MODEL**

ABUHB are the lead finance organisation for the GTTPS.

On 29<sup>th</sup> June 2020 the G10 agreed the following principles for the financial case:

- All costs must be covered, including non-pay
- All costs incurred to date must be covered by WG
- Service will only operate in the envelope of the funding provided
- Funding award from WG does not mean spend up to grant level but deliver a proportionate and efficient service
- Finance build amended to reflect the need for non-pay and additional IT costs that may be needed
- The funding will be held by ABUHB and organisations will submit claims with proof of expenditure monthly to ABUHB for reimbursement.

ABUHB will provide finance reports of expenditure against profile to the Leadership Group identifying any risks as appropriate.

The current forecasted cost of the GTTPS for 2020/21 is £8.216m

Appendix 6 shows the latest finance model.

## **MEASURES OF SUCCESS**

The best measure of success is the ongoing monitoring of the R rate and transmission in the community; however Public Health Wales have advised that this cannot be calculated at a regional level.

The Welsh Government has released the following performance measures to be used nationally and these are monitored and reported on by the Coordination Unit to the Regional Oversight Group, Leadership Group, G10 and the Strategic Coordinating Group. They are also monitored by the Welsh Government with direct reporting from the CRM.

- Percentage of Index Cases successfully (followed up) reached within 24 hours – target 80%
- Percentage of Contacts successfully (followed up) notified within 24 hours – target 80%

A performance measure is also used by the Welsh Government to measure the whole contact tracing pathway:

- Percentage of Contacts successfully (followed up) notified, within 24 hours of the Index Case being notified to the GTTPS – target 35%

This measure has not routinely been reported on locally to date, however it is monitored by the Coordination Unit.

## LEGAL STATUS

All of the organisations have statutory duties under Public Health legislation to respond to notifiable diseases. Whilst there is not specific legislation placing duties on either the Health Board or the Local Authorities to create a Contact Tracing Service there is absolute recognition that Covid-19 is a global pandemic emergency and the organisations are best placed to develop the necessary trace and protect systems to meet the aims of the national Test, Trace and Protect strategy. However, it is also important that the organisations are not acting ultra vires and therefore:

For LAs the key provisions which underpin the creation of this service are:

- The Public Health (Control of Disease) Act 1984 places a statutory obligation on LAs to investigate notifications of infectious diseases in their locality. LAs are also required to appoint a Proper Officer (CCDC) for this purpose. Covid is an infectious notifiable disease.
- The LG Act 2000 gives us the power of well-being – so power to do anything to promote economic, social and environmental well-being. A global pandemic and creating a service to protect public health and the economy is seen as an appropriate use of this power.
- The LG Act 1972 a local authority shall have power to do anything (whether or not involving the expenditure, borrowing or lending of money or the acquisition or disposal of any property or rights) which is calculated to facilitate, or is conducive or incidental to, the discharge of any of their functions.

For local authorities and ABUHB:

Welsh Ministers have passed a number of Regulations dealing with coronavirus restrictions and requirements as well as travel and quarantine and the latest details can be found here:

<https://gov.wales/coronavirus-legislation-and-guidance-law#Welshlegislationimposingcoronavirusrestrictions>

## CONCLUSION

This business case outlines the key elements of the Gwent Test Trace Protect Service: aims, principles, governance, operational management, workforce, finance and the legal basis for its operation to deliver Contact Tracing and associated activities.

The partners are committed to delivering an effective and impactful Service in line with this business case, to support the regions' and Wales' aspirations to save lives, protect the NHS and protect the economy.

Appendix 1

**Staffing Numbers from SOP May 2020**

<b>Local authority area</b>	<b>Population</b>	<b>Contact Tracing Lead</b>	<b>Contact Tracers</b>	<b>Contact Advisors</b>
Blaenau Gwent	69,713	2	6-8	20-24
Caerphilly	181,019	6	18-24	60-72
Monmouthshire	94,142	3	9-12	30-36
Newport	153,302	4-5	12-20	40-60
Torfaen	93,049	3	9-12	30-36
<b>TOTAL</b>	<b>591,225</b>	<b>19</b>	<b>57-76</b>	<b>190-228</b>

## **APPENDIX 2**

### **G10 GWENT TEST, TRACE, PROTECT SERVICE REGIONAL BOARD**

#### **TERMS OF REFERENCE**

The GTTPS Regional Board is a key partnership body; established to lead and guide the service established in the face of the Covid-19 pandemic.

The G10 is a partnership of the 10 organisations that all sit on the local PSBs and work together to promote well-being and improvement in Gwent. The G10 is exploring with WG the creation of a Gwent-wide PSB. Rather than create another governance layer or new Board for the GTTPS, the utilisation of this group as the Regional Board will test the Gwent PSB hypothesis and demonstrate the organisation's commitment for partnership working and ensure effective and efficient governance of the service.

The Gwent Test, Trace, Protect Service (herein referred to as The Service) operates in the Gwent area (covering the areas of Blaenau Gwent, Caerphilly, Monmouthshire, Newport and Torfaen) sitting within the footprint of the Aneurin Bevan University Health Board area.

The Regional Board is responsible for bringing together the 6 partner organisations:

Aneurin Bevan University Health Board (ABUHB)  
Blaenau Gwent County Borough Council (BGCBC)  
Caerphilly County Borough Council (CCBC)  
Monmouthshire County Council (MCC)  
Newport City Council (NCC)  
Torfaen County Borough Council (TCBC)

with the collective aim of protecting our residents through breaking the chains of transmission of Covid-19 in our communities and places of work.

Other organisations also sit on Regional Board/G10:  
South Wales Fire and Rescue (Chief Fire Officer and Chair)  
Gwent Police (Chief Constable)  
Police and Crime Commissioner  
Natural Resources Wales

#### **Purpose of the Service**

Find, Act, Prioritise and Report; Stay at Home; Save Lives

#### **Purpose of the Regional Board/G10**

The Board is the key leadership body to oversee The Service

The Board, operating on behalf of their respective organisations, will be responsible for:

- Ensuring The Service is delivering against its stated purpose, aims and objectives
- Ensuring The Service is delivered against its stated principles
- Representing the interests of their respective organisations
- Strategic oversight of the establishment of The Service
- Agreeing the financial framework of The Service noting that commitment of additional resources (financial, human etc.) will be referred back to organisations (where arrangements have not been made to delegate these functions to the partnership by the constituent bodies)
- Ensuring effective governance, leadership and management of The Service
- Ensuring the effective planning and delivery of The Service
- Scrutinising the performance of The Service
- Ensuring effective decommissioning of and exit strategy for The Service
- Ensuring The Service is operating in alignment with and complimenting wider Covid-19 strategies
- Promoting the interests of The Service to national partners, particularly Welsh Government and Public Health Wales.

### **Delegation Framework**

Any delegated functions will be set out in an agreed Memorandum of Understanding and a Scheme of Delegation.

### **Governance**

The Board is supported through the establishment of a Programme Implementation Governance Structure.

- Leadership Group
- Programme Management Office
- A Regional Oversight Group

## **5. Chair of the Regional Board**

The Chair of the G10 is determined on a rotational basis.

## **6. Deputies**

The principle of deputies is supported.

Only formally nominated deputies for each voting member will be entitled to vote, when representing their organisation in the absence of the voting member.

For the Local Authority Cabinet Members, this would be another Executive Member and for the Health Board either another Independent Member or Executive Member of the Health Board.

## **Quorum and Decision Taking**

The Board will have a quorum of 6 members and must include either the Chair or Vice Chair. However, wherever possible, decisions will be made on a consensus basis. In the event that consensus cannot be reached, decisions will be taken on a majority vote, with the Chair having the casting vote. The Vice Chair, in the Chair's absence will have the casting vote.

## **External Scrutiny**

The external scrutiny will be via the current Local Authority Scrutiny Committees and appropriate Health Board committees.

## **Secretariat**

Business, project, managerial, secretariat and administrative support for the Board will be provided or arranged by the Programme Management Office. All papers will be circulated one week ahead of scheduled meeting, with any later papers needing Chair approval for late circulation or tabling at the meeting – in exceptional circumstances only.

## **Reporting**

The Board will receive reports from the Head of Gwent Contact Tracing Service (and the Leadership Group when appropriate). These reports will provide an assurance function with regard to the effective and efficient delivery of The Service.

The Board will focus on Key Strategic Decisions. All operational and managerial decisions will be delegated to the Leadership Group, which will engage with all relevant Strategic Partnerships and Service Delivery Teams to deliver the agreed programme.

## **Frequency and operation of meetings**

The Regional Board meets on a quarterly basis, with the Leadership Group meeting at appropriate intervals as determined by the needs of The Service, to ensure agreed actions are progressed prior to formal decision making.

## **Review of Terms of Reference**

The Terms of Reference will be reviewed 3 months after the agreement of this Framework document.



## **APPENDIX 3**

### **GWENT TEST, TRACE, PROTECT SERVICE LEADERSHIP GROUP**

#### **TERMS OF REFERENCE (REVISED FEBRUARY 2021)**

The GTTPS Leadership Group is a key partnership body. It is established to lead and guide the service established in the face of the Covid-19 pandemic and specifically to support the Regional Board (G10) in achieving its aims and terms of reference.

The Gwent Test, Trace, Protect Service (herein referred to as The Service) operates in the Gwent area (covering the areas of Blaenau Gwent, Caerphilly, Monmouthshire, Newport and Torfaen) sitting within the footprint of the Aneurin Bevan University Health Board area.

The Leadership Group is responsible for bringing together the 6 partner organisations:

Aneurin Bevan University Health Board (ABUHB)  
Blaenau Gwent County Borough Council (BGCBC)  
Caerphilly County Borough Council (CCBC)  
Monmouthshire County Council (MCC)  
Newport City Council (NCC)  
Torfaen County Borough Council (TCBC)

with the collective aim of protecting our residents through breaking the chains of transmission of Covid-19 in our communities and places of work.

#### **Purpose of the Service**

Find, Act, Prioritise and Report; Stay at Home; Save Lives

#### **Purpose of the Leadership Group**

To represent their organisations, ensure the partnership is working effectively and support the Regional Board through appropriate escalation and due diligence with regards to the governance framework and delegations outlined in the Memorandum of Understanding.

The Leadership Group, operating on behalf of their respective organisations, will be responsible for:

- Ensuring The Service is delivering against its stated purpose, aims and objectives
- Ensuring The Service is delivered against its stated principles
- Representing the interests of their respective organisations
- Strategic oversight of the establishment of The Service

- Agreeing the financial framework of The Service noting that commitment of additional resources (financial, human etc.) will be referred back to organisations (where arrangements have not been made to delegate these functions to the partnership by the constituent bodies)
- Ensuring effective governance, leadership and management of The Service
- Ensuring the effective planning and delivery of The Service
- Scrutinising the performance of The Service
- Ensuring effective decommissioning of and exit strategy for The Service
- Ensuring The Service is operating in alignment with and complimenting wider Covid-19 strategies
- Promoting the interests of The Service to national partners, particularly Welsh Government and Public Health Wales.
- Supporting the Lead Organisation with the recruitment and performance management of the Head of Service and the Coordination Unit (formerly Programme Management Office).
- Monitoring the implementation of The Service's operational plans
- Owning The Service's risk register and ensuring their organisations take the appropriate actions to mitigate and reduce risks
- Ensuring the organisations are working effectively in partnership

### **Contact Tracing Leadership Group Membership**

#### Local Authorities – Five Members

Chief Officers/Directors or Heads of Public Protection

#### Aneurin Bevan University Health Board – 4 members

Dr Sarah Aitkin, Executive Director of Public Health and Strategic Partnerships

Ms Eryl Powell, Consultant in Public Health

Alex Thomas, Finance Business Partner

Lika Nehaul, Locum Consultant in Public Health Medicine – Chair of Regional Oversight Group

Also, any nominated additional lead strategic and operational representatives from the Health Board e.g. Chief Operating Officer, Consultants in Public Health etc

### **Chair of the Leadership Group**

The Chair and Vice Chair will be appointed from within the Leadership Group.

### **Deputies**

The principle of deputies is supported for each organisation represented.

### **Secretariat**

Business, project, managerial, secretariat and administrative support for the Leadership Group will be provided or arranged by the Coordination Unit.

### **Reporting**

The Leadership Group will receive reports from the Head of Gwent Contact Tracing Service. These reports will provide an assurance function with regard to the effective and efficient delivery of The Service.

The Leadership Group will focus on strategic and managerial decisions. All operational decisions around the technical implementation of The Service will be delegated to the Regional Oversight Group.

### **Frequency and operation of meetings**

Leadership Officer Group will meet at appropriate intervals as determined by the needs of The Service, to take decisions and ensure agreed actions are progressed.

### **Review of Terms of Reference**

The Terms of Reference will be reviewed 3 months after the agreement of this Framework document.

**Appendix 4**

**Regional Oversight Group – Terms of Reference**

**Gwent TTP Service  
Regional Oversight Group (ROG)  
Terms of Reference**

**Gwent TTP Service - Regional Oversight Group - Terms of Reference**

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<ul style="list-style-type: none"> <li>• <u>Appendix Two</u> – ROG - Core Members</li> </ul>	7
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## **Purpose**

The Regional Oversight Group (ROG) is the strategic overview group for NHS Test, Trace and Protect (TTP) element of the public health response to COVID-19 within the Aneurin Bevan University Health Board (ABUHB) area. ROG is one of the two layers within what is known as the “Regional Cell” with the other being the Regional Response Teams. ROG also has support from the Regional Operational Planning Group and Data Cell, for further details please see Appendix One.

## **ROG Primary Aim.**

The group directs and supports the operations of work of the TTP response teams and local tracing teams that are set up based on the existing Gwent five local authority geographical boundaries and the Health Board’s Staff Wellbeing team (AKA Health Board Staff Cell). ROG also sits within a wider Gwent TTPS Governance structure please see Appendix Three for details.

## **Primary Objectives to achieve the Primary Aim**

<b>Primary Objectives</b>	<b>Examples</b>
1. To utilise the resource within the Regional Cell Delivery Programme (hosted by ABUHB) and GTTPS Coordination Unit (hosted by TCBC) to support the strategic decision making within the ROG.	<ul style="list-style-type: none"> <li>• Operationalising tracing within Gwent in respect of WG policies and guidance</li> <li>• Changes within the Contact Tracing operational practice, such as prioritisation processes and Backward Contact Tracing</li> <li>• Approving where there are local changes or operationalising national changes to: scripts, SOP’s, CRM and Solgari, etc.</li> <li>• Supporting the development of WG Covid-19 policies and guidance.</li> <li>• Supporting the development of Covid-19 communication initiatives.</li> </ul>
2. To provide a strategic overview in the identification of mutual support where significant multiple issues arise in one or more LA areas.	<ul style="list-style-type: none"> <li>• Discussion and monitoring of the Covid-19 GTTPS Management Action Plan – formerly known as the Post Fire Break Action Plan.</li> </ul>
3. To receive an overview of the issues in relation to operational delivery and management within complex or closed settings, such as education settings that are pertinent to ROG	
4. Interfacing and Representing Gwent region on Welsh Government TTP Task Group and interfacing with this group and PHW on specific issues and actions raised at ROG.	<ul style="list-style-type: none"> <li>• Representation for Gwent around service capacity and operational tracing practice</li> <li>• Development of new initiatives – inc the pre-test results e-form process</li> </ul>

## Gwent TTP Service - Regional Oversight Group - Terms of Reference

<p>5. To capture and manage specific and relevant ROG risks for the effective management of the incident with Gwent.</p>	<ul style="list-style-type: none"> <li>• Understanding the interface with the wider GTTPS risks held by the Leadership Group.</li> </ul>
<p>6. To escalate issues and appropriate decisions to the ABUHB Testing lead, GTTPS Leadership Group and Gwent IMT and The GIMT Testing Sub-Group (where appropriate).</p>	
<p>7. To discuss and provide oversight on the wider impact of testing and testing approaches with respect to their impact on contact tracing.</p>	<ul style="list-style-type: none"> <li>• Discussion around LFT's in various settings</li> </ul>
<p>8. To consider the Performance/efficiency of the GTTPS in the context of delivering its objectives and to agree any changes to the tracing process that would help minimise the spread of the virus through contacting cases and contacts as quickly as possible.</p>	<ul style="list-style-type: none"> <li>• Reviewing the weekly GTTPS Performance report from the GTTPS – CU</li> <li>• To develop approaches to ensure Performance is maintained</li> </ul>
<p>9. To provide quality oversight of the GTTPS supported by GTTPS – CU to implement a quality assurance framework.</p>	<ul style="list-style-type: none"> <li>• To establish a quality assurance framework for the GTTPS</li> <li>• To receive regular updates on quality assurance issues from the GTTPS-CU</li> <li>• To make decisions on steps to improve quality of the service</li> </ul>
<p>10. To utilise the Regional Operational Planning Group to develop specific task and finish projects/activities to support the development of operational protocols and improvements to approaches.</p>	<ul style="list-style-type: none"> <li>• Logging of best practice across the GTTPS</li> <li>• To test operational process changes such as the escalation process.</li> </ul>

### **Regional Oversight Group Members:**

The membership of ROG reflects the partnership approach to the response to Covid-19 within Gwent and comprises:

- Chair - Consultant in Public Health Medicine (ABUHB)
- Consultant in Public Health (ABUHB)
- Consultant in Communicable Disease Control/ representative from Regional Health Protection Support Team (Public Health Wales) – Attends to provide specific advice or on request of Chair.
- Local Authority Environmental Health/Public Protection Senior Managers
- ABUHB Regional Cell Delivery Programme – Programme Manager

## **Gwent TTP Service - Regional Oversight Group - Terms of Reference**

- GTTPS – Coordination Unit – Head of Service
- Other co-opted members invited to attend as and when the Group agrees necessary

For full details of named ROG Members please see Appendix Two.

### **ROG documentation**

Documentation that is required to be shared with ROG Members in undertaking their oversight duties will either be shared via the ROG Microsoft Teams community site or by e-mail with restricted circulation. Documents will be shared with ROG members the day before each meeting. However for issues of an urgent nature, documents in relation to these issues can be shared on the day of the ROG meetings.

### **Frequency of meetings and documentation of actions**

The ROG will meet a minimum of once a week. More frequent meetings may be required to deal with specific issues or where additional meetings are considered necessary.

As part of the agile incident management response approach, key issues and points of note will be captured within the ROG meeting minutes. These minutes will be accompanied by a live action log which will capture key actions from each meeting.

### **Decision making and accountability**

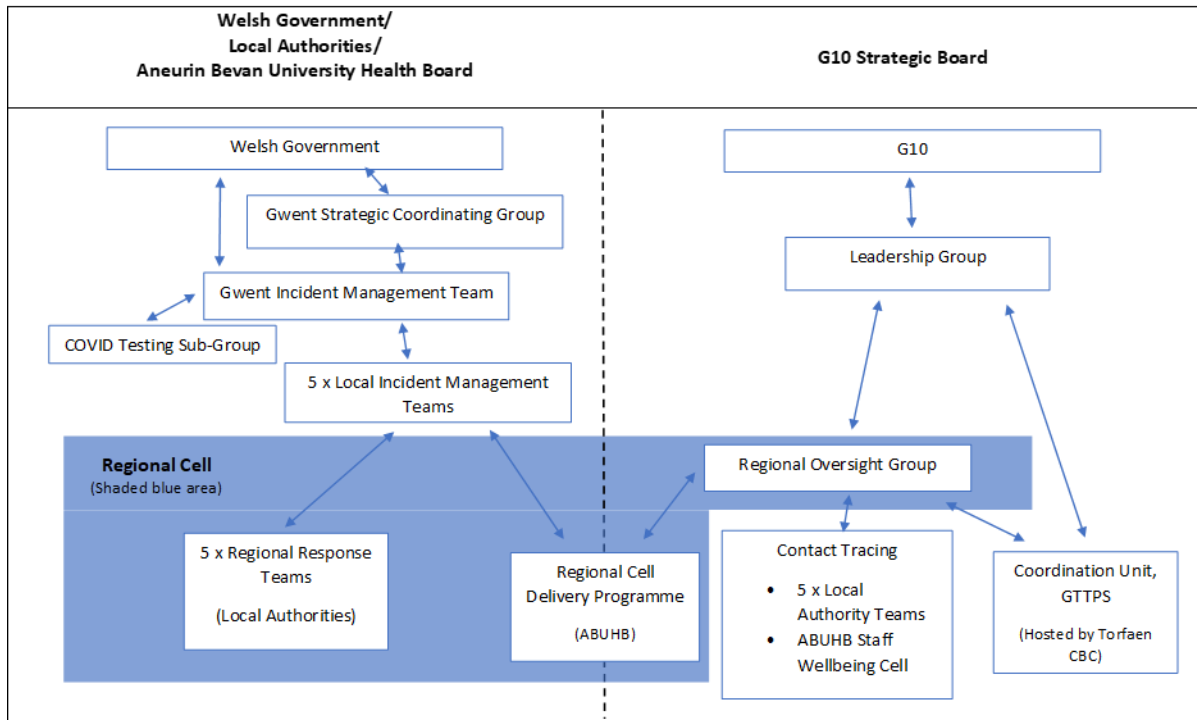
The quorum for ROG to make a binding decision will comprise the Chair, Public Health Consultant (SRO – RCDP), GTTPS Head of Service and a representative from each of the five Gwent Local Authorities. The Chair will also liaise with the PHW Health Protection Team on any decisions that ROG Chair/Members deem it necessary to have PHW HPT input. It will also be possible for the Chair to confirm decisions via e-mail from ROG Members outside of the standing ROG Meetings. ROG will also be able to hold meetings and share information without a full representation from the Core ROG members (Appendix Two).

Decision Log – All decisions taken by ROG (from Dec 4<sup>th</sup> 2020) will be logged in a decision log – detailing the rationale for the decision, the decision taken and the ROG representatives approving the decision. Where decisions cannot be taken by ROG these will be captured in the Decision Log and escalated to the GTTPS Leadership Group by the ROG Chair. To facilitate the decisions process, all papers or information brought to ROG will be accompanied by a cover sheet which will provide a summary of the issue and the decision ROG is being asked to take.



**Appendix One: Other parts of the GTTPS governance structure that interface with ROG**

**Gwent Test Trace Protect Service Governance**



The above governance groups including, SCG, G10, Leadership Group and GMT/LIMTs will each have a separate Terms of Reference (ToR) which will articulate their discrete roles and responsibilities around the response to managing the Covid-19 response. These should be read in conjunction with this ToR.

**Appendix Two: ROG - Core Members:**

Core membership is as follows:

<b>Organisation</b>	<b>Name</b>	<b>Role</b>
<b>Aneurin Bevan University Health Board</b>	Lika Nehaul (Chair) Eryl Powell Jeff Brown, Scott Leighton or Daniel Westwood	Consultant in PH Medicine – ROG Chair ABUHB Gwent PH team & SRO RCDP Programme Managers and Senior Project Manager - RCDP
<b>Public Health Wales – Health Protection Team</b>	Rhianwen Stiff (or representative)	CCDC - <b>(To be requested to attend by Chair on a needs basis)</b>
<b>Gwent TTPS Coordination Unit</b>	Jonathan Keen	GTTPS – Coordination Unit Head of Service
<b>Blaenau Gwent CBC</b>	David Thompson	Public Protection Service Manager
<b>Caerphilly CBC</b>	Ceri Edwards	Environmental Health Manager
<b>Monmouthshire CC</b>	David Jones	Head of Public Protection
<b>Newport CC</b>	Rhys Thomas	Service Manager for Public Protection
<b>Torfaen CBC</b>	Daniel Morelli	Head of Public Protection

### Appendix three - Version Control

<b>Version</b>	<b>Updated by</b>	<b>Notes</b>
Version 0 - July 2020	Ceri Edwards - CCBC	Original TOR
Version 0a - 01-10-20	Daniel Westwood - ABUHB	Minor changes to reflect current language
Version 0b – 01-12-20	Jeff Brown - ABUHB	Re-draft to reflect new Gov landscape around IMT's etc
Version 0c -0e – 05-01-21	Jeff Brown and Lika Nehaul - ABUHB	Review and further comments on Redraft
Version – 0f 0g– 18-01-21	JB, EP and LN	Review and discussion
Version – 0H – 22-02-21	EP & LN	Review
Version 0I – 22-02-21	ROG Members	LN shared with ROG Members for comments.
Version 0J – 23-02-21	Comments included from: <ul style="list-style-type: none"> <li>• Llion Davies</li> </ul>	
Version 0K 24-04-21	Comments from ROG Members	Amended TOR discussed at ROG
Version 0L – 05-03-20	Final Version	Approved at ROG

## **Appendix 5 – Local Authority Contact Tracing Workforce Plan December 2020**

NB: Includes redeployees.

### **Blaenau Gwent County Borough Council**

Contact Tracing Manager:	1 WTE
Senior Shift Supervisor:	1 WTE
Shift Supervisor:	6 WTE
Contact Tracers:	29.36 WTE
Contact Advisors:	26 WTE
Admin Support:	2 WTE
Data Analyst:	1 WTE

### **Caerphilly County Borough Council**

Contact Tracing Manager:	1.84 WTE
Contact Tracers:	60 WTE
Contact Advisors:	70 WTE
Business Coordinators:	8 WTE

### **Monmouthshire County Council**

Contact Tracing Manager:	2 WTE
Contact Tracers:	22.8 WTE
Contact Advisors:	23 WTE
Business Coordinators:	3 WTE

### **Newport City Council**

Contact Tracing Manager:	1 WTE
Contact Tracing Deputy Managers:	3 WTE
Contact Tracers:	41.10 WTE
Contact Advisors:	63 WTE
Contact Advisor Team Leaders:	6 WTE
Admin Support:	2 WTE

### **Torfaen County Borough Council**

Contact Tracing Manager:	1 WTE
Contact Tracers:	27.24 WTE
Contact Tracing Super Users:	3 WTE
Contact Advisors:	35 WTE
Business Supervisors:	4 WTE
Admin Support:	1 WTE

## Appendix 6 – Finance Model 2020/21

(As per January 2021 Financial Submissions to ABUHB Finance Lead)

	Workforce (wte)	Cost per month (£ m)
Management & Support	46.84	0.170
Contact Tracer	181.83	0.632
Contact Advisor	219.20	0.555
Environmental Health Officer	6.50	0.066
Coordination Unit	13.00	0.048
Regional Cell	88.88	0.391
<b>Total</b>	<b>556.25</b>	<b>1.862</b>

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## **Appendix B – Staff Feedback**

### **Sarah Demille**

I joined TTP in June at the very start after being approached by my manager to see if i would be interested in a secondment. The training was very in-depth and was initially a lot to take on board as well as working from home and learning new systems. Once we went live it was very quiet but this gave us an opportunity to study and get to know the team that we would be working with. Working from home is very different from being in a busy and loud office but i have grown to enjoy it and found it preferable. I wanted to get involved as i felt it suited my skill set. I am caring, very thorough and good at communicating with all sectors especially the elderly and vulnerable. I have had 13 years' experience in CCBC contact centre so providing good customer service and dealing with difficult situations was very much a daily activity. I was at a point in the role where I needed a change and a new challenge so this came about at the right time.

I wanted to be doing a job that was rewarding and beneficial to others and I felt that this role would give me that opportunity. I initially started as an advisor but was encouraged to apply for the role of Tracer when they were first advertised as my manager felt it would be more challenging for me.

I applied for the post of Tracer and was interviewed for the post , which thankfully I got. I enjoy the role and like fact finding and using questioning skills to ensure the safety of others. It is both challenging and rewarding and makes me feel like in some way I am making a difference. Speaking to positive cases and re-assuring people in any way I can gives me job satisfaction. Covid19 is a real threat and although not a nice thing to deal with I do take pleasure in knowing I am helping our community and the NHS.

### **Heather Richardson**

It has been a challenging year for everyone, and it will continue to be challenging for some time to come. Working for the TTP team has given me purpose through uncertain times; knowing that the work we are doing is helping our community's response to the pandemic is a source of pride; and, working in such a supportive and collaborative environment has been a joy – even if I have no idea what anyone looks like!

### **Alyson Bevan**

'Being part of the TTP team has proven to be very rewarding. It has its challenges that working from home brings, however the team are really supportive, and we all share the same values of helping our community stay safer during this time'.

### **Deb Fletcher**

Like so many of the population in Wales and the UK, I found myself placed on the government furlough scheme in March 2020. Unfortunately due to the affects of the pandemic, I was then made redundant from my role as a Client Services Director. This then gave me a lot of time to think and reflect on the time at home. During my search for new employment there was a number of vacancies for Track, Trace and Protect via CCBC. After reading the requirements for the role, I thought this a great opportunity for me to use some of my skills, knowledge and experience to help such an important process.

Whilst we are encouraged to work from home, this role firstly as an Advisor and now a Tracer for CCBC enables me to contribute to the process if keeping the people of Wales, safe and informed, while keeping a track of the Covid-19 Virus.

“Working via TEAMS with an amazing group of people whom I have never met is quiet unique” I think of our team as the electronic version of the British Land Army, doing our bit for the country”

I fell very proud to be able contribute to this process.

**Jacqueline Elliott**

Just wanted to say how much I am enjoying working for TTP, the managers and staff have all made me feel very welcome from the start. Its been a steep learning curve but the training has been excellent and nothing has been too much trouble for anyone. It's a very difficult time for everyone and I have enjoyed feeling that I am at least helping in some small part to keep my family and other families and individuals within our Community of Caerphilly Borough and surrounding areas safer. I think the TTP programme is an excellent resource and so glad that I applied for a position within it.

**Alex Grove**

Being able to support the NHS and my community alongside such a wonderful team is awe-inspiring.

**Linda Oliveira**

I truly believe TTP staff make a big difference in protecting communities by providing information and education on the importance of and coping with isolation. Also, on a personal level for vulnerable and single people isolating alone. We genuinely care about everyone we have contact with, some are fearful or have recently experienced loss within their family. It can be challenging but also rewarding, knowing you have helped or comforted someone in a small way. I work with a great team, we have to deliver a very important and sensitive message but genuinely care about the people we speak with. Offering guidance and information to support them through their isolation.

**Andrew Cheeseman**

It's been emotional in so many ways, highs and lows, it has been an incredible honour and privilege to be able do my bit to help in the battle against this relentless virus. With the knowledge that each person contacted and asked to isolate could help save many lives and lessen the burden and stress being put on our healthcare and keyworkers. None of this would be possible without working alongside an amazing group of people who have been there to support each other during the high and lows.